

Euro SPI Conference 2022, August 29<sup>th</sup> – September 2<sup>nd</sup> 2022, Salzburg Austria

# Data-Driven Engineering (DDE) Process – An ASPICE Compatible Process for Machine Learning in Automated Driving

Thomas Geipel <sup>\*\*</sup>)

An extension of:

DDE Process: A requirements engineering approach for machine learning in automated driving

By Ran Zhang <sup>\*\*</sup>), Andreas Albrecht <sup>\*\*</sup>), Jonathan Kausch <sup>\*\*</sup>), Henrik J. Putzer <sup>\*</sup>), Thomas Geipel <sup>\*\*</sup>) and Prashanth Halady <sup>\*\*</sup>)

Published at 29th IEEE Int. Requirements Eng. Conference, Notre Dame, South Bend, USA, Sept. 20-24, 2021

Presented also at VDA SYS Conference, Potsdam, Germany, June 28-30, 2022

# Agenda



Challenges in Automated Driving



Data in Machine Learning



DDE Process as Solution



Industrial Benefits from Our Approach



Summary and Future Work

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Challenges in ML-Based Automated Driving (AD) System Development



Current process landscape in automotive domain is not able to deal with developing Machine Learning (ML) based functions and systems.



Requirements are no longer simple and crisp, but implicitly manifested in the data, or in requirements on data, respectively.



No established development process yet for integrating data requirements for ML based functions (like e. g. perception) in the overall development systematics.

Capability to handle increasing complexity

**SAE Level 5**  
Full Automated Driving

**SAE Level 4**  
Highly Automated Driving

**SAE Level 3**  
Conditionally Automated Driving

**SAE Level 2**  
Partially Automated Driving

**SAE Level 1**  
Driver Assistance

**ML offers generic solutions for complex automation tasks, but this requires new Data-Driven Engineering processes ...**

# Agenda



Challenges in Automated Driving



Data in Machine Learning



DDE Process as Solution



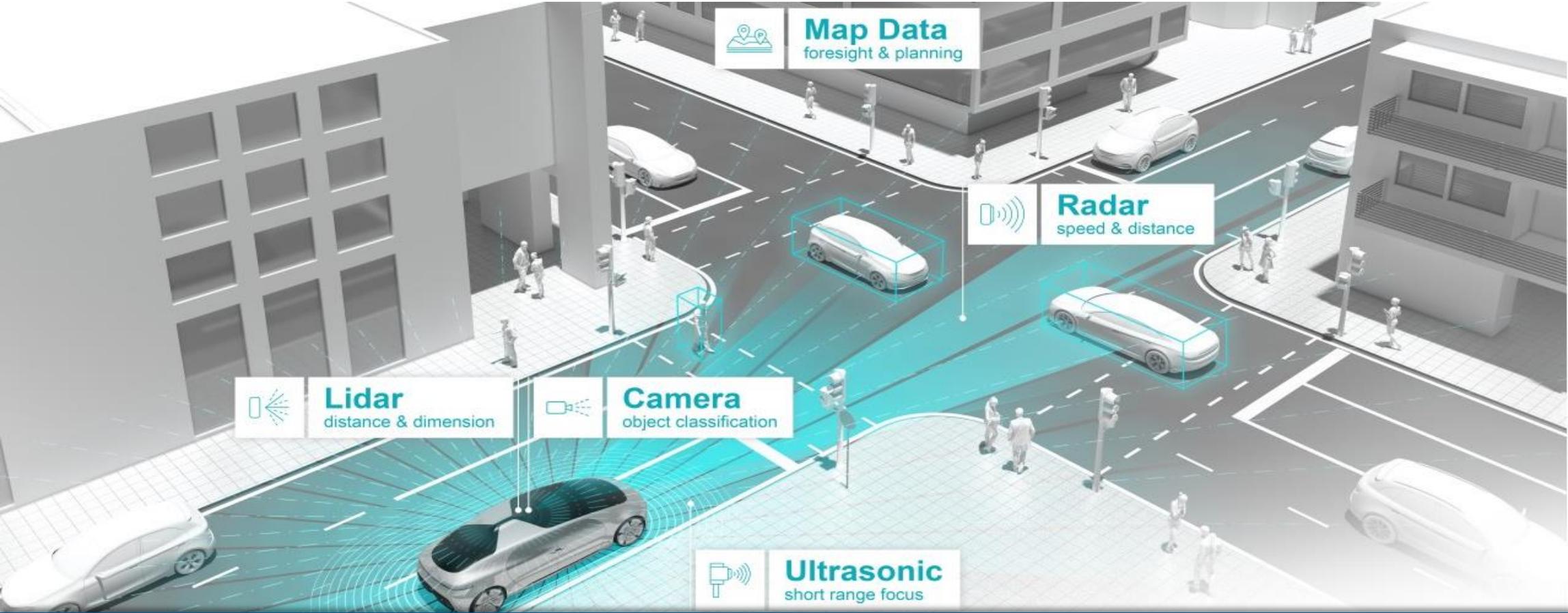
Industrial Benefits from Our Approach



Summary and Future Work

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Sensor Data Used For Automated Driving



The sensor data for perception functions are the foundation for automated driving systems.

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

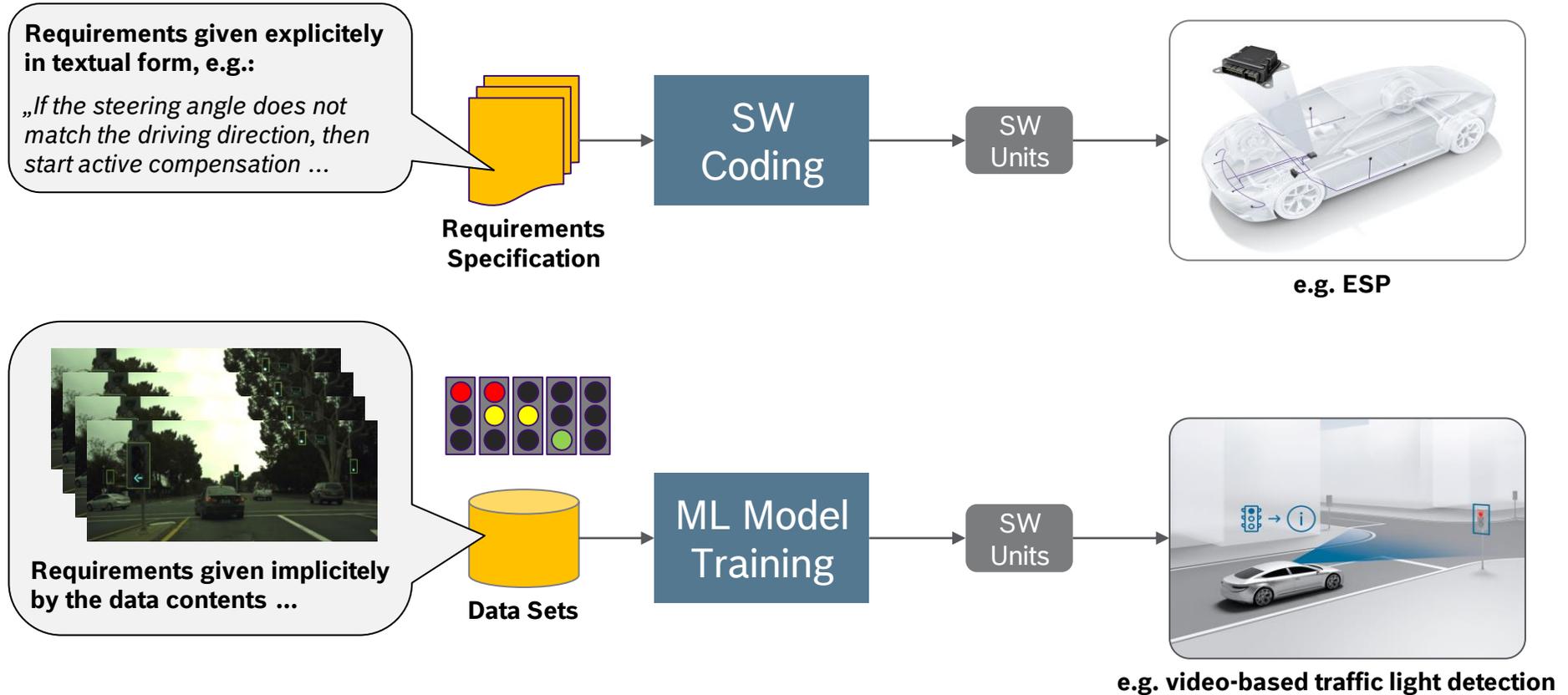
## Machine Learning Opens a Field of New Data-Driven Engineering Solutions



Detecting traffic lights in images is a vision-based perception task that Machine Learning solves better than classical methods.

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Classic SW Development versus Machine Learning

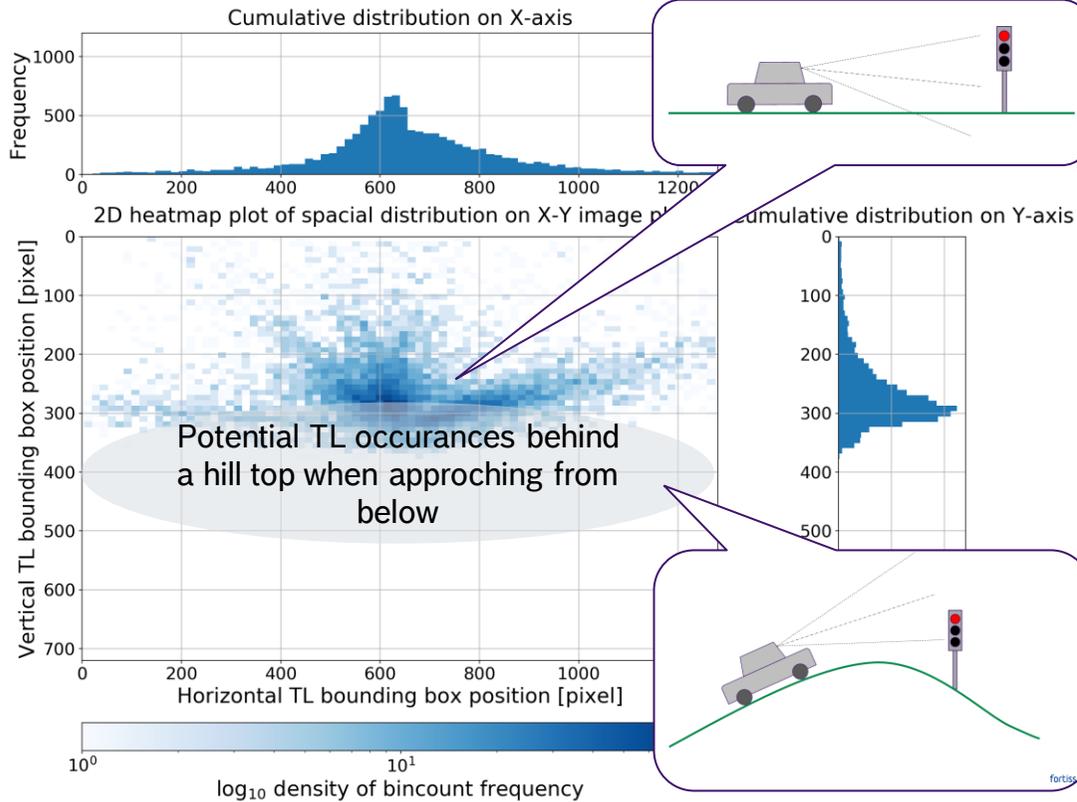


**In Data-Driven Engineering tasks requirements are no longer explicitly given by text, but implicitly given by data.**

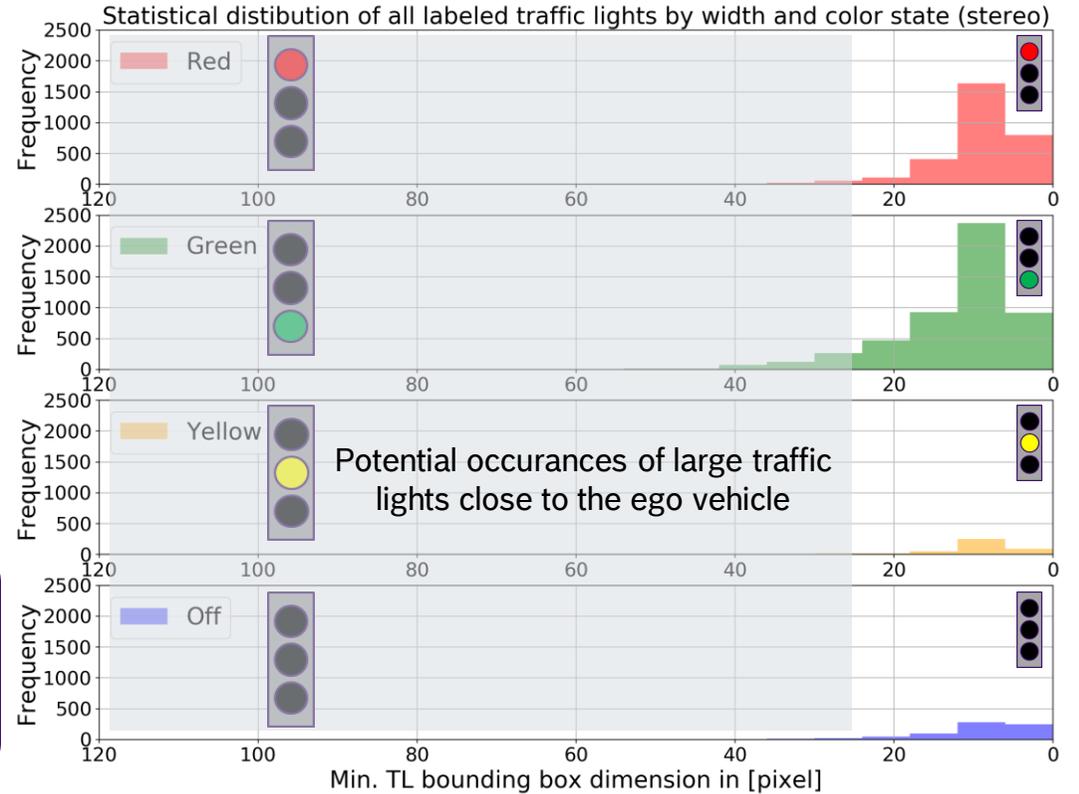
# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Data Content Analysis Results for a Public Traffic Light Data Set Example

Distribution of bounding box location on image plane



Distribution of bounding box dimensions as a distance-equivalent



**New requirements may arise from gaps in data coverage of the ODD: Data gaps need to be identified and closed.**

# Agenda



Challenges in Automated Driving



Data in Machine Learning



DDE Process as Solution



Industrial Benefits from Our Approach



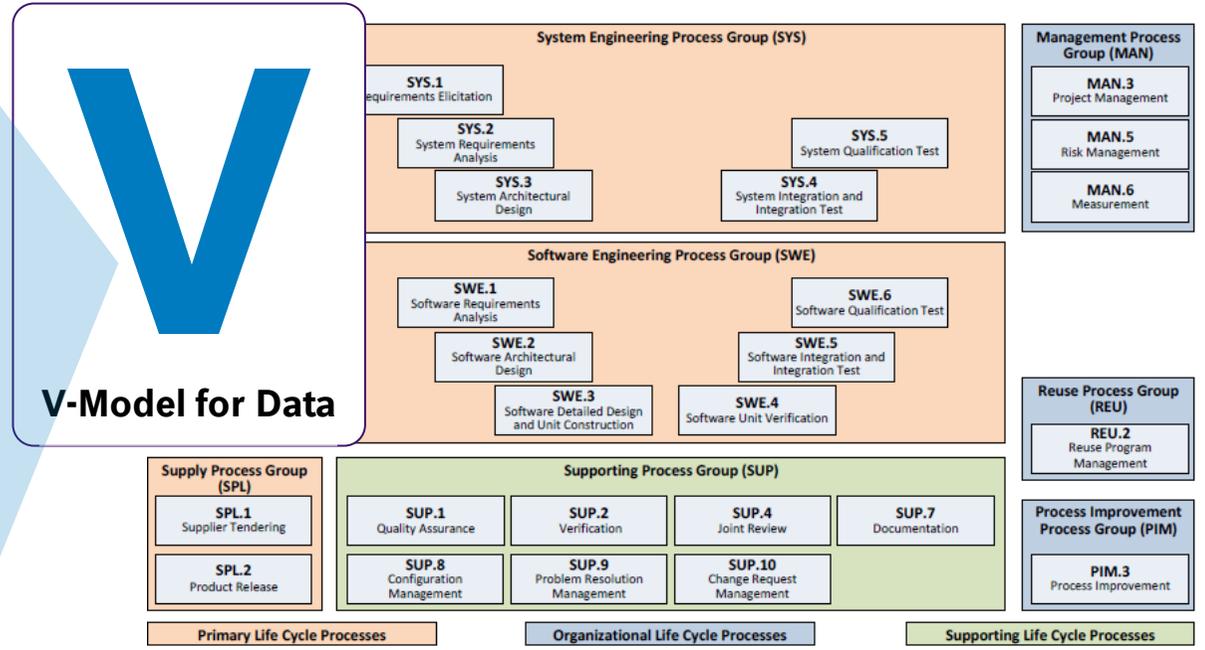
Summary and Future Work

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Our Solution: Extend ASPICE PRM by Data V-Model and SWE steps by ML

We want a process, which shall ...

-  ... cover the data-driven characteristics of machine learning (ML) and include well-established ML workflows seamlessly
-  ... be compatible to the existing ASPICE process reference model and collaborate with the SYS/SWE process also incl. ML
-  ... be iterative using a systematic data-driven approach to incrementally address data coverage of the Operational Design Domain (ODD)
-  ... introduce traceable coverage of data & ML requirements besides the coverage of classical non-/functional SYS/SW requirements into the V&V argumentation



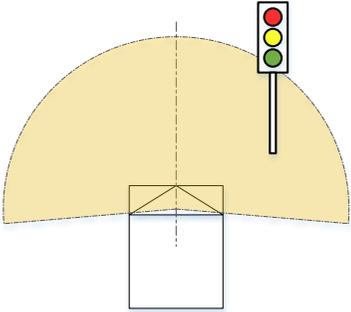
### Automotive SPICE process reference model

([https://www.automotivespice.com/fileadmin/software-download/AutomotiveSPICE\\_PAM\\_31.pdf](https://www.automotivespice.com/fileadmin/software-download/AutomotiveSPICE_PAM_31.pdf))

We propose a novel, ASPICE-compatible Data-Driven Engineering Process for Machine Learning in Automated Driving ...

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

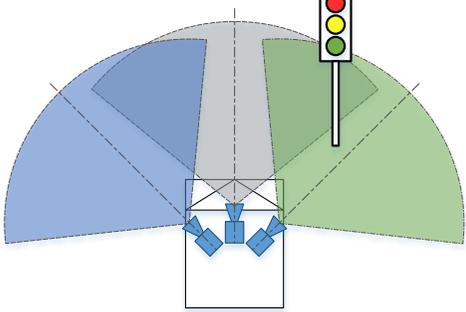
## DDE Design Levels for Data



**DDE0: Vehicle Level**

Focus:

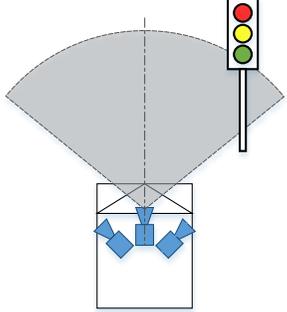
- Overall field of view from vehicle perspective



**DDE1: Sub-/System Level**

Focus:

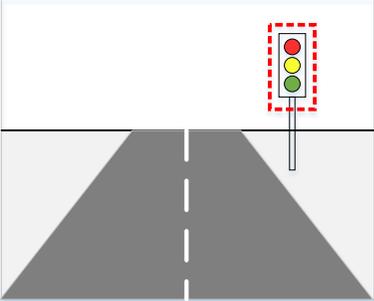
- Multi-sensor data sequences from system or functional chain perspective



**DDE2: Fct. Domain Level**

Focus:

- Single-sensor data frames or sequences from SW function perspective



**DDE3: Implementation Level**

Focus:

- ML model perspective (s. DDE2)
- All-DDE-Level-perspective for data pool implementation

Use Cases => Scenario collections

System data requirements (test)

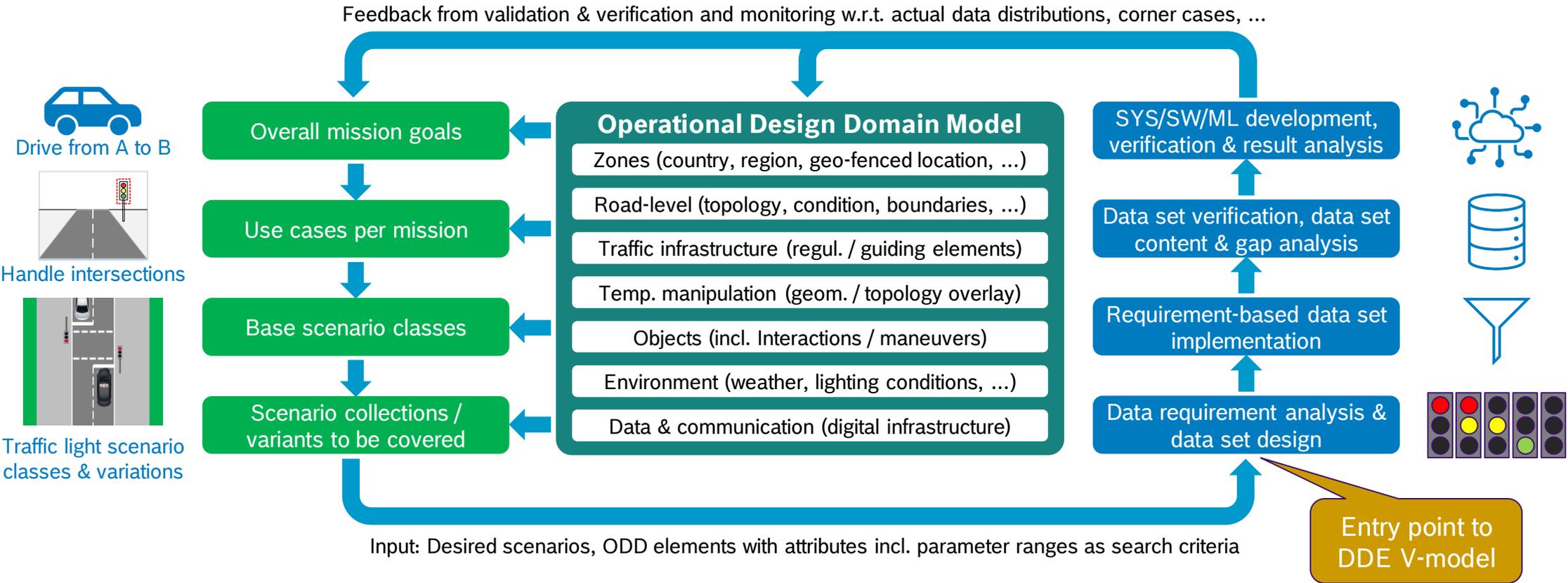
Domain data requirements (test)

ML model & data pool implementation

**The goal of the DDE process is to tailor data sets requirement-based to the individual needs of each design level.**

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Operational Design Domain (ODD) as Entry Point to DDE on Vehicle Level

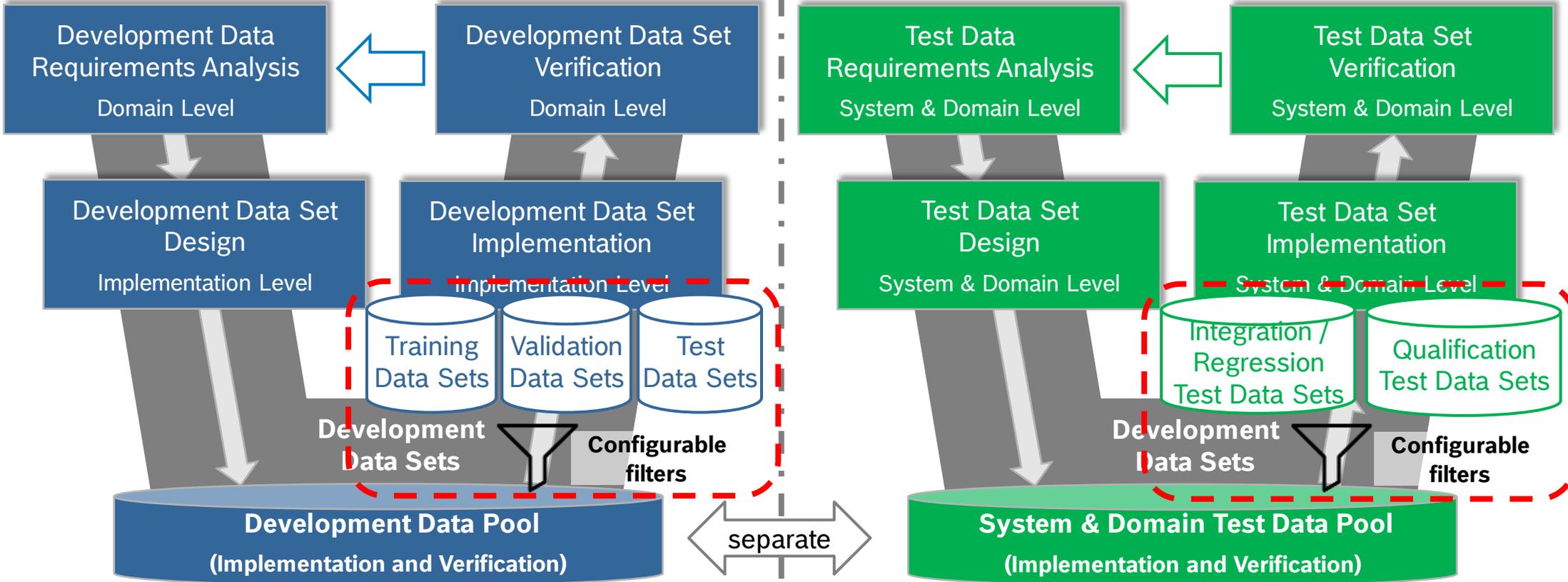


An aligned ODD model & ontology is the basis for deriving data requirements & criteria for data coverage on all design levels.

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Implementation & Verification of Independent Development & Test Datasets

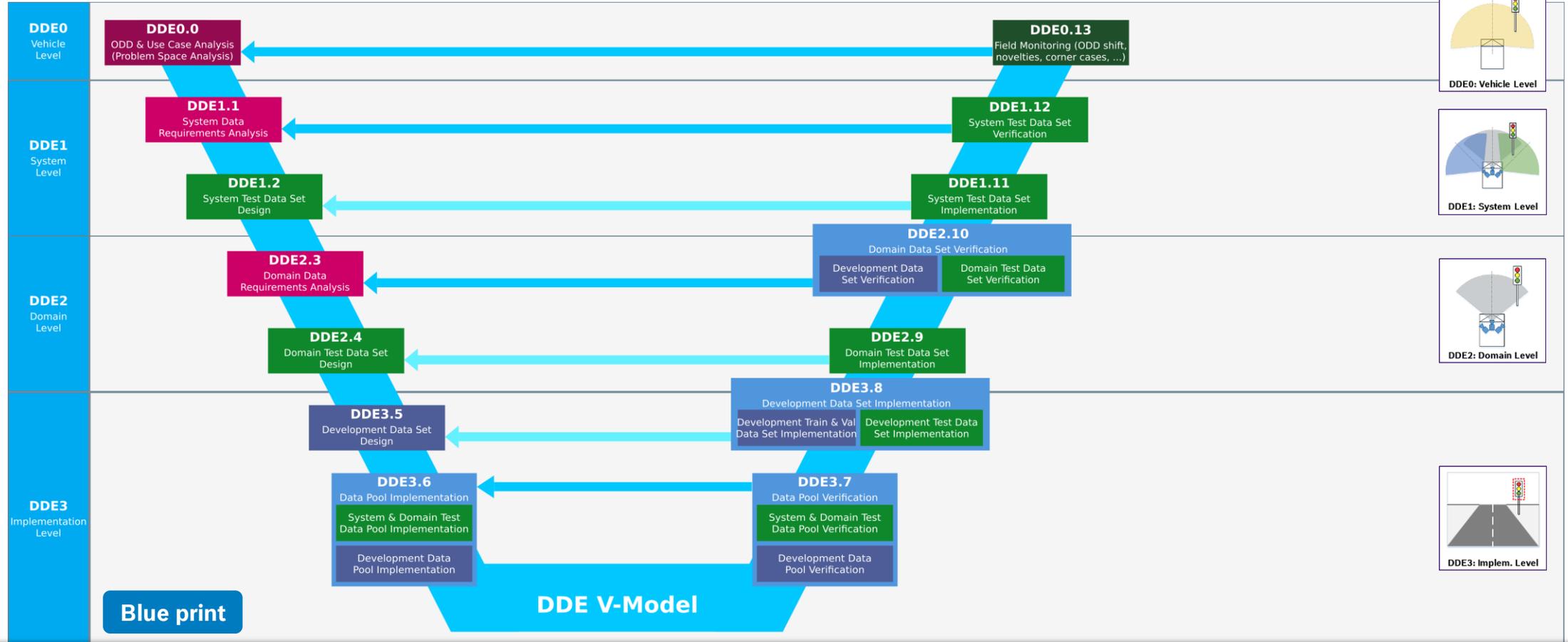
e.g. What TL color variations need to be covered by how many samples?



**On all levels we need data set implementation & verification workflows that can be automated using configurable data filters.**

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

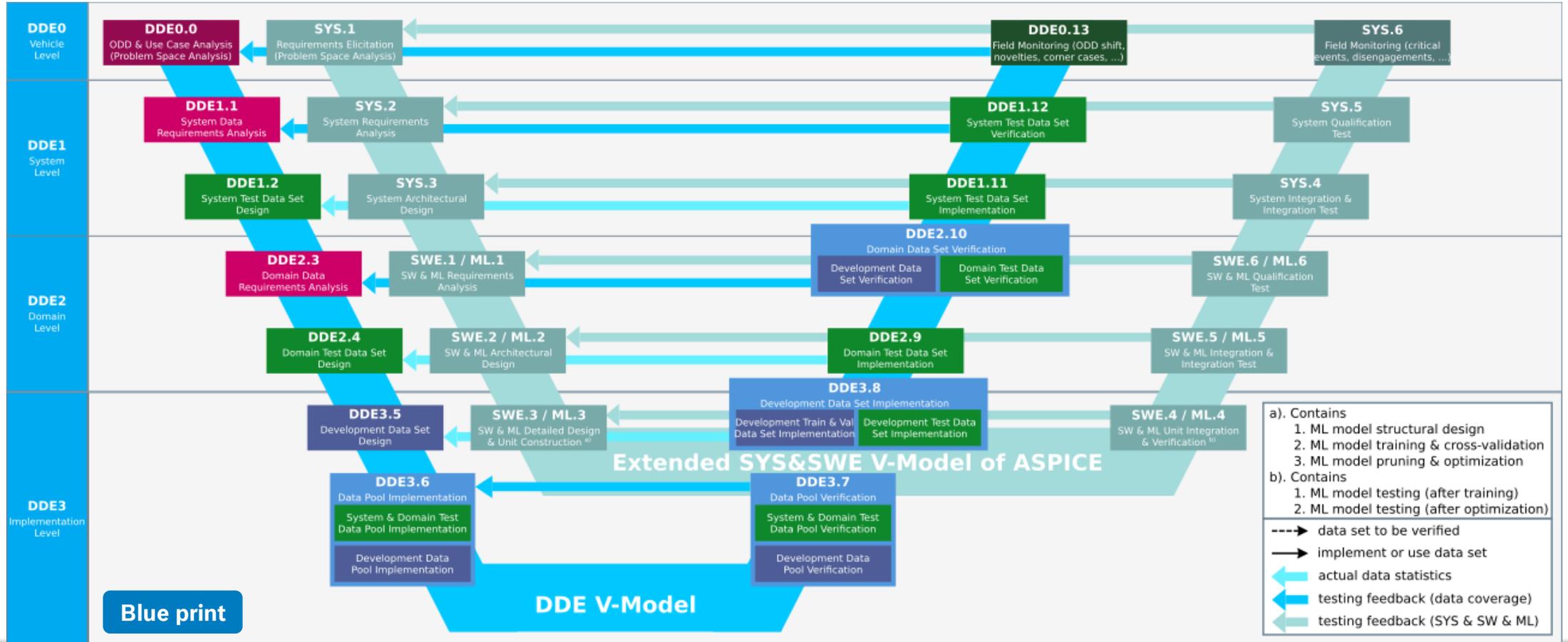
## Overview of DDE V-Model – DDE Data Branch



The new DDE process components provide the SW/ML development process (ref. ASPICE) with verified data sets on all levels.

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

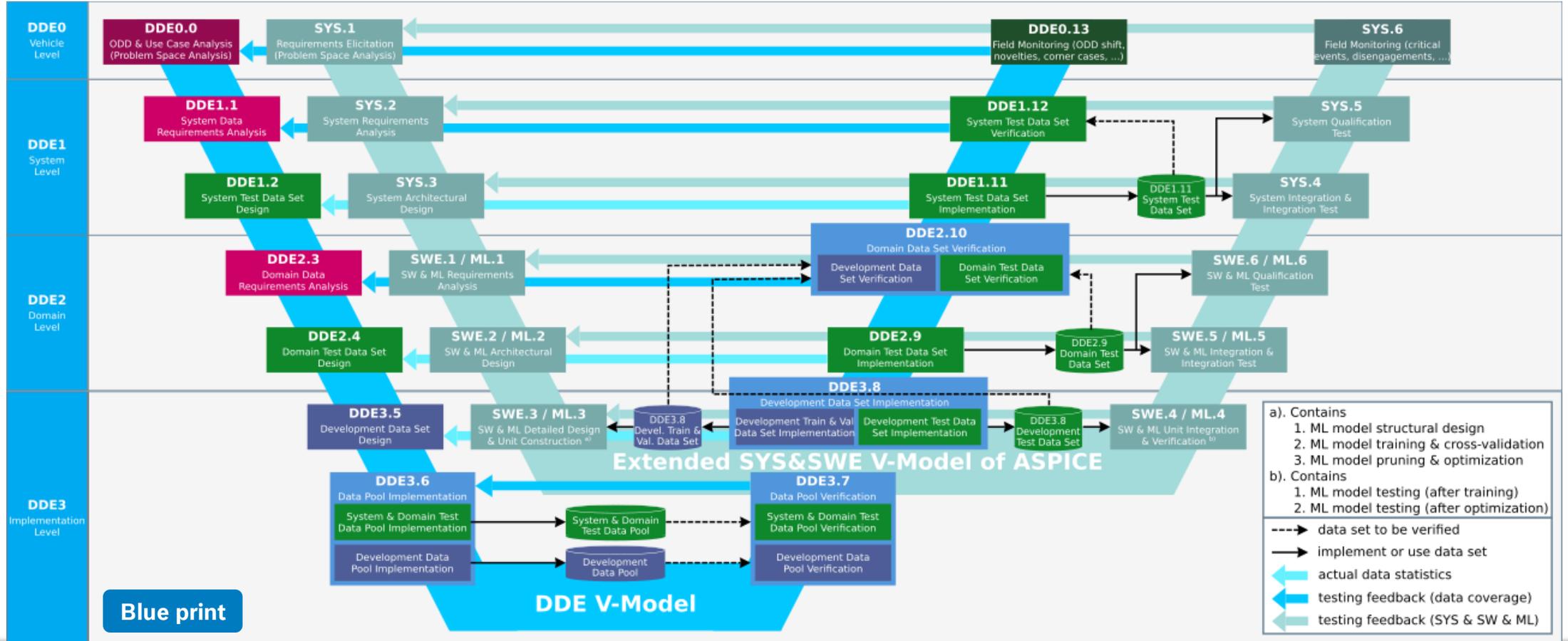
## Overview of DDE V-Model – DDE Data Branch and SYS/SW/ML Branch



The new DDE process components provide the SW/ML development process (ref. ASPICE) with verified data sets on all levels.

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

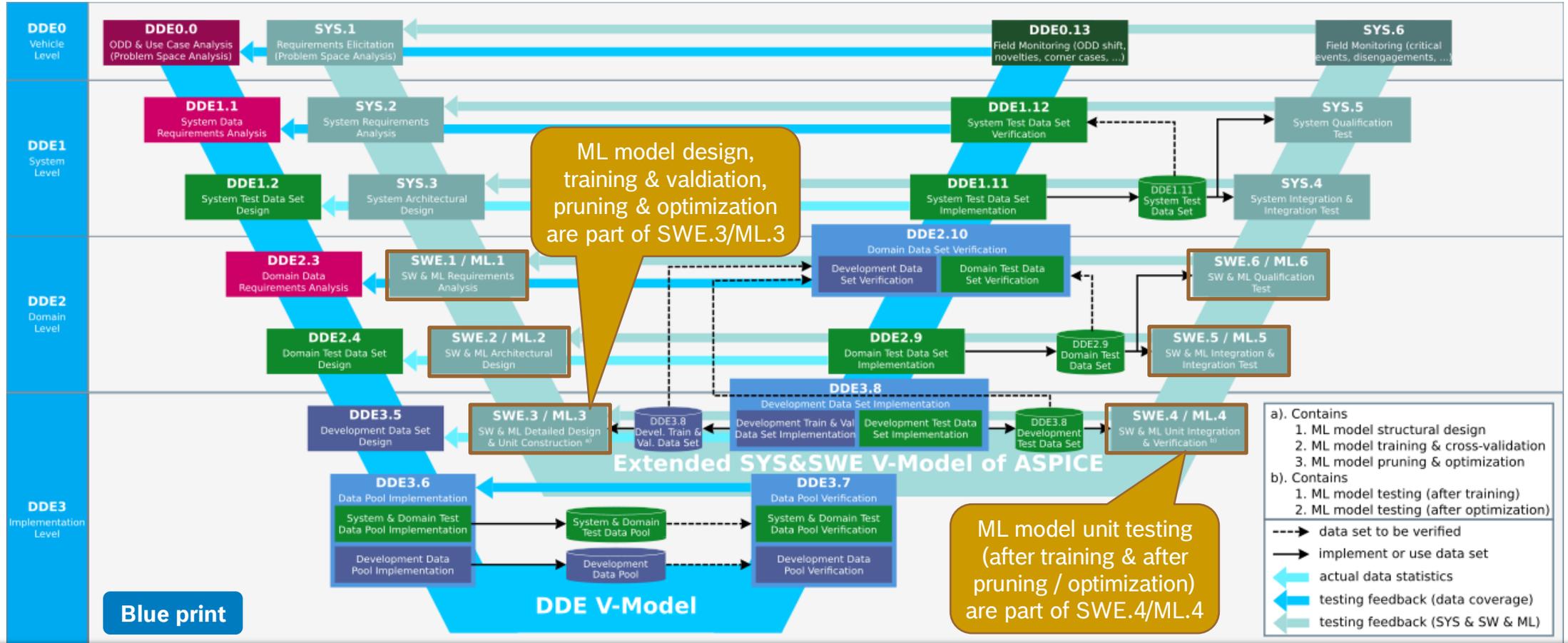
## Overview of DDE V-Model – DDE & SYS/SW/ML Branch linked via Data Sets



The new DDE process components provide the SW/ML development process (ref. ASPICE) with verified data sets on all levels.

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

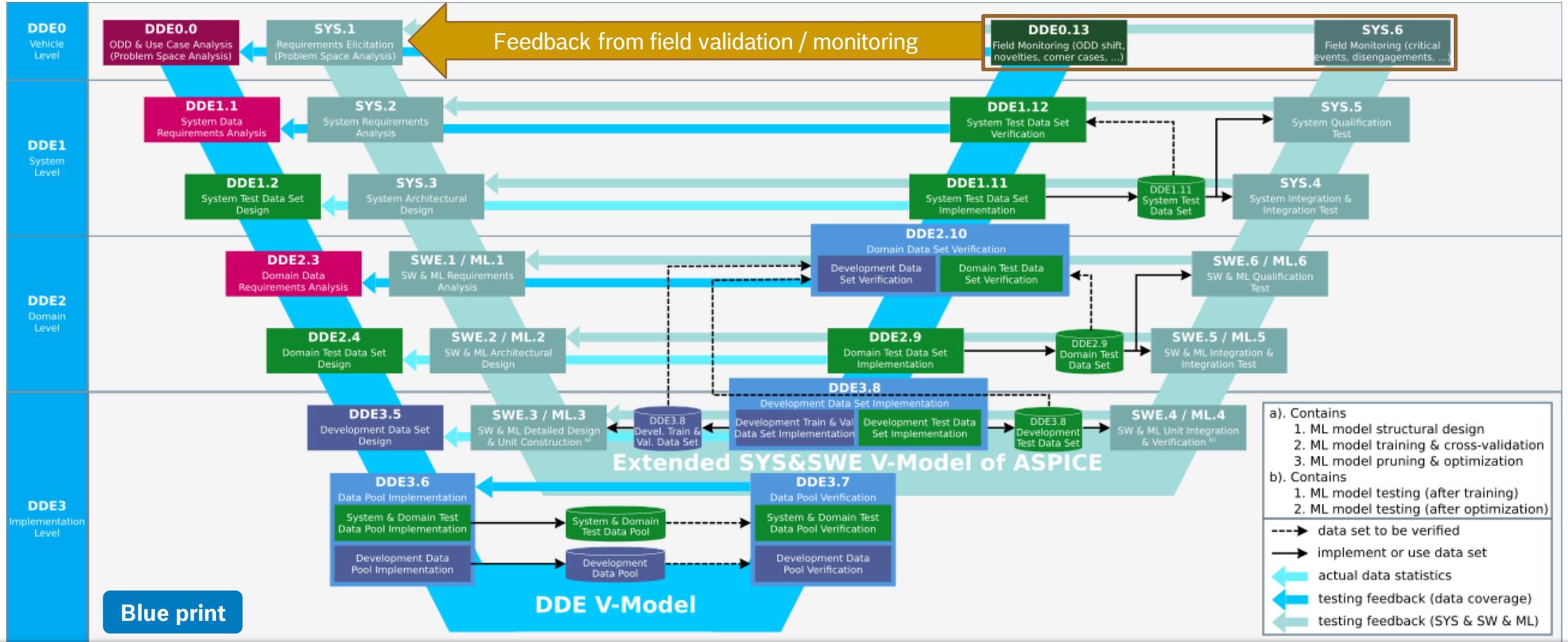
## Overview of DDE V-Model – SYS/SW/ML Branch includes Machine Learning



**ML architectural design, ML model training, validation & unit testing, pruning & optimization are part of the SYS/SW/ML branch.**

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Overview of DDE V-Model – Validation & Testing Feedback on all Levels



Both DDE and SYS/SW/ML branch include cyclic validation & verification steps and experience-driven feedback on all levels.

# Agenda



Challenges in Automated Driving



Data in Machine Learning



DDE Process as Solution



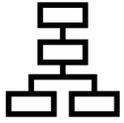
Industrial Benefits from Our Approach



Summary and Future Work

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Industrial Benefits of Our Approach



The DDE V-model facilitates consistent derivation of data requirements and offers cross-project-wide reusability of development artifacts, data sets & tools.



Requirement-based data engineering fosters goal-oriented, systematic data collection and labeling, which helps to massively reduce labeling cost.



Consequent data set verification against clearly defined data requirements shows gaps in data sets & facilitates analysis of failures e.g. caused by data.

**Our DDE V-model includes ML workflows and maps to existing SYS/SW engineering standards without changing them.**

# Agenda



Challenges in Automated Driving



Data in Machine Learning



DDE Process as Solution



Industrial Benefits from Our Approach



Summary and Future Work

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Summary and Future Work

### Summary

- We propose a new DDE process to overcome challenges in ML-based AD system development.
- Our process model consists of a novel DDE V-model for data engineering linked via the data sets to a V-model for SYS & SW engineering, which includes ML workflows.
- We have shown the consistency of our approach using the example of Traffic Light Detection.
- We find it essential to strengthen SYS.1 activities in combination with DDE.0 (ODD analysis, ...).

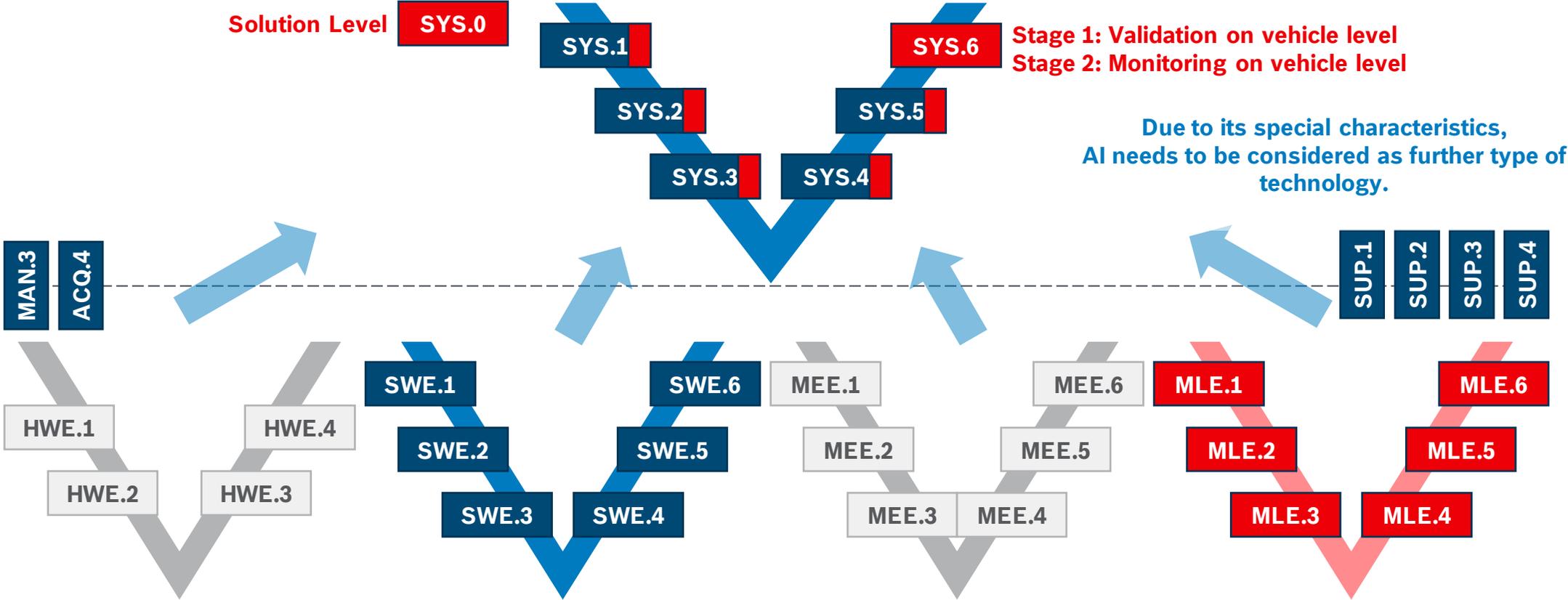
### Next steps / Future Work

- We propose to extend the ASPICE model by a DDE branch, to integrate ML workflows, and to add appropriate validation processes during test phase followed by continuous field monitoring (DDE0.13/SYS.6) to cover future requirements for (highly) automated and autonomous driving.

**Our DDE process is a generic approach that can be applied to other use cases.**

# Data-Driven Engineering (DDE) Process for Machine Learning in Automated Driving

## Proposal for a ML motivated Extension of ASPICE



DNNs (AI, ML) needs to be considered as 3<sup>rd</sup> kind / further type of technology (AI≠SW, AI≠HW).